



MARYLAND STATE POLICE

Maryland Department of State Police / Licensing Division
111 Reisterstown Road
Pikesville, Maryland
Office: (410) 653.4500 / Fax: (410) 653.4036

ADVISORY

LD-FRU-17-005

April 11, 2017

FIREARMS TRANSACTION RECORD, ATF FORM 4473

On January 1, 2017, the Maryland Department of State Police, Firearms Registration Unit, began using the Licensing Portal to process the on-line Maryland State Police Application and Affidavit to Purchase a Regulated Firearm. Since that time, the ATF has learned that some Regulated Firearms Dealers have not been completing the Firearms Transaction Record, ATF Form 4473. In addition, several Regulated Firearms Dealers have delayed execution of the ATF Form 4473 until they have received a 'Not Disapproved' response from the Firearms Registration Unit. This Advisory is disseminated to remind Regulated Firearms Dealers the ATF Form 4473 is required, and that parts of the form must be completed, prior to executing the 77R, unless exempt.

Pursuant to Federal law, ATF licensed dealers must execute an ATF Form 4473, prior to transferring a firearm to a non-licensee, unless one of the limited exceptions is met. Please refer to 27 CFR 478.124(a). In addition, prior to electronically submitting the 77R to the Firearms Registration Unit, licensed dealers must ensure Section A, of the ATF Form 4473, is completed, as well as parts of Section B. This includes, Item 16 (type of firearm being transferred) and Item 17 (location of gun show/event, if applicable). Please verify the identification document of the purchaser, and record this information on Item 18, of the ATF Form 4473. Item 19.a. must also be completed.

For regulated firearms transfers, Item 19.a., on the ATF Form 4473, should reflect the date the licensed dealer electronically submitted the 77R. Items 19.b. and 19.c. will remain blank until a response is received. Please refer to the instructions on the ATF Form 4473 and 27 CFR 478.124(c)(1) through 478.124(c)(3).

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Additional information regarding the ATF Form 4473, revised October, 2016, may be located at the following: <https://www.atf.gov/firearms/atf-form-4473-firearms-transaction-record-revisions>.

If you need further assistance with the Firearms Transaction Record, ATF Form 4473, please contact the Baltimore ATF Industry Operations office at 443-965-2120. Thank you for your attention to this matter.

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